SEP 1 8 2006 ST. THURENE

PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete if Known		
				Application Number	10/799,088-Conf. #8524	
INFORMATION DISCLOSURE				Filing Date	March 12, 2004	
STATEMENT BY APPLICANT (Use as many sheets as necessary)			PLICANT	First Named Inventor	Shinya Haraguchi	
				Art Unit	2876	
			essary)	Examiner Name	A. Kim	
Sheet	1	of	1	Attorney Docket Number	SONYJP 3.0-1215 DIV	

U.S. PATENT DOCUMENTS					
Evenines	xaminer Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
initials*		Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY		
AK	AA*	US-4,736,094	04-05-1988	Yoshida	
1	AB*	US-5,144,115	09-01-1992	Yoshida et al.	
	AC*	US-5,796,832	08-18-1998	Kawan	
	AD*	US-5,993,047	11-30-1999	Novogrod et al.	
7/	AE*	US-6,012,049	01-04-2000	Kawan	
V	AF*	US-6,062,472	05-16-2000	Cheung	
AK	AG*	US-6,064,988	05-16-2000	Thomas	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	⊤®
AK	BA	EP-0 950 968-A1	10/1999	Takayama`		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
AK	CA	Dialog file # 148, number 09372340, "What Makes the Smartcard Smart? by Francis Christian, Mass Transit, V22, N7, p56(1).			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	/Ahshik Kim/	Date	12/07/2006
Signature	/ Austra Kim/	Considered	12/07/2008
C04004 4 DOC			

691991\_1.DOC

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.